

**The Regional Board issued an *Alternative No. 1* document that allows the Board to consider removal of the Effluent Limitations for Aluminum and replace the aquatic life limitations for a drinking water based limitation which is applied as an annual average. The proposed Permit under Alternative No. 1 would fail to contain an Effluent Limitation for aluminum in accordance with Federal Regulations 40 CFR 122.44, US EPA's interpretation of the regulation, and California Water Code, Section 13377. The proposed Effluent Limitation for aluminum improperly regulated as an annual average is contrary to Federal Regulations 40 CFR 122.45 (d)(2) and common sense.**

Federal Regulation 40 CFR 122.45 (d)(2) requires that permit for POTWs establish Effluent Limitations as average weekly and average monthly unless impracticable. The proposed Permit Alternative No. 1 would establish Effluent Limitations for aluminum as an annual average contrary to the cited Federal Regulation. Establishing the Effluent Limitations for aluminum in accordance with the Federal Regulation is not impracticable, to the contrary the Central Valley Regional Board has a long history of having done so. Proof of impracticability is properly a steep slope and the Regional Board has not presented any evidence that properly and legally limiting aluminum is impracticable.

**Aluminum;** US EPA's recommended ambient criteria for the protection of freshwater aquatic life for aluminum is applicable for the recommended chronic criteria. US EPA has submitted a letter, dated 24 June 2010, specifically supporting the applicability of the chronic aluminum criteria. EPA stated that: "EPA has not formally changed its recommended aluminum criteria; the appropriate aluminum criteria values for higher hardness situations remain uncertain. The existing EPA-recommended chronic aluminum criterion of 87 ug/l is clearly protective of aquatic life and is appropriate for use in evaluating reasonable potential and establishing effluent limitations." EPA concludes their letter by recommending: "...the conservative approach of retaining the existing effluent limitations in the new permit." CSPA concurs with EPA's recommendation regarding retention of the chronic based effluent limitation for aluminum.

In reviewing Placer County's letter, dated 14 June 2010, to the Regional Board regarding "New Aluminum Information" we provide the following comments:

- a. The information used in Attachment 1 is based on mixing the effluent with the receiving stream hardness absent any mixing zone analysis. There is no indication that any of the mixing zone requirements of the SIP and/or the Basin Plan would be met under such conditions.
- b. The information used in Attachment 1 is based on effluent hardness data that is skewed by the addition of magnesium hydroxide which raises the hardness. Hardness itself can be considered to degrade water quality. There is no indication the Placer County conducted any antidegradation Analysis prior to adding magnesium hydroxide to the treatment process. There is also no indication in the record that Placer County properly notified the Regional Board of the

change in the character of the effluent quality from the addition of magnesium hydroxide as is required by 40 CFR 122.41(h).

c. Placer County conveniently ignores that fact that low hardness was observed in the receiving waters at levels used in the development of EPA's criteria. Placer County also conveniently ignores that fact that low pH values are common from activated sludge wastewater treatment systems at levels used in the development of EPA's criteria. Regardless of the information used to develop the criteria, the criteria have been developed and are EPA's recommended criteria. Placer County's comments ignore the water quality standards process where a broad range of scientific studies and statistical procedures are used to develop a specific criterion; not a single study as the comments would have one believe. It is likely that one could go through any water quality standards development document and discover an unattractive data point.

d. Placer County forwards quotes from an EPA staff person who cites Texas and Utah as examples where the chronic criteria for aluminum is not utilized. There are numerous other, not cited areas, such as Canada where more stringent criteria have been developed. EPA's criteria document for aluminum recommends that site specific criteria are an alternative to EPA's recommended criteria. Texas, Utah and Canada are examples where site specific criteria have been developed. As site specific criteria, they are not applicable in California. There are scientific and procedural methods for developing site specific objectives all of which are ignored by Placer County's proposal. We would not object to development of site specific criteria that follows the proper and applicable procedures for developing water quality standards in California.

e. EPA comments in their 24 June 2010 letter that the information supplied by Placer County does not qualify as "new" information with regard to antibacksliding and antidegradation.

f. It is our recollection that the receiving streams surrounding Placer County's SMD-1 WWTP are subject to use by water purveyors for transporting water. The low hardness sampling data from the receiving waters is unlikely due to a sampling anomaly; especially absent any QA/QC results to the contrary.

Federal Regulations, 40 CFR 122.44 (d)(i), requires that; "Limitations must control all pollutants or pollutant parameters (either conventional, nonconventional, or toxic pollutants) which the Director determines are or may be discharged at a level which will cause, have the reasonable potential to cause, or contribute to an excursion above any State water quality standard, including State narrative criteria for water quality." The Basin Plan contains a narrative water quality objective for toxicity that states in part that "[a]ll waters shall be maintained free of toxic substances in concentrations that produce detrimental physiological responses in human, plant, animal, or aquatic life" (narrative toxicity objective). Where numeric water quality objectives have not been established, 40 CFR §122.44(d) specifies that WQBELs may be established using USEPA criteria guidance under CWA section 304(a), proposed State criteria or a State policy interpreting narrative criteria supplemented with other relevant information, or an indicator parameter. U.S. EPA developed National Recommended Ambient Water Quality Criteria for protection of freshwater aquatic life for aluminum to prevent toxicity to freshwater aquatic life.

The recommended ambient criteria four-day average (chronic) and one-hour average (acute) criteria for aluminum are 87 µg/l and 750 µg/l, respectively.

Aluminum in the effluent has been measured as high as 720 µg/l. Freshwater Aquatic habitat is a beneficial use of the receiving stream.

US EPA's 87 ug/l chronic criterion was developed using low pH and hardness testing. California Central Valley waters, the Sacramento River, at the Valley floor, have been sampled to have hardnesses as low as 39 mg/l CaCO<sub>3</sub> by the USGS in February 1996 for the *National Water Quality Assessment Program*. Contributory streams, especially foothill streams, have also been sampled and shown to contain even lower hardness levels. The subject receiving stream has been documented to have hardness levels as low as 10 mg/l (permit at page F-20). US EPA recognized in their ambient criteria development document, (Ambient Water Quality Criteria for Aluminum, EPA 440/5-86-008) that the pH was in the range 6.5 to 6.6 and that the hardness was below 20 mg/l. Typical values for pH and hardness in the Central Valley alone warrant use of the chronic ambient criteria for aluminum. Despite the hardness and pH values used in the development of the criteria; U.S. EPA's conclusions in their *Ambient Criteria for the Protection of Freshwater Aquatic Life* recommends that application of the ambient criteria as necessary to be protective of the aquatic beneficial uses of receiving waters in lieu of site-specific criteria.

Aluminum is not a hardness dependant metal. The Regional Board has presented no information and there is no information in the record that aluminum toxicity would change based on the hardness of the receiving waters.

The Regional Board and their proposed Permit cites US EPA's *Ambient Criteria for the Protection of Freshwater Aquatic Life for Aluminum* (criteria) as not being representative or necessary because the chronic criteria were based on a low hardness and low pH. The Regional Board cites one section of the criteria development document but ignores the final recommendation to use the recommended criteria absent a site-specific objective for aluminum. The Regional Board then defaults to the US EPA recommended acute criteria of 750 ug/l. The Regional Board's citation of the criteria development document is incomplete its review, for example the *criteria* development document (EPA 440/5-86-008) also cites that:

169 ug/l of aluminum caused a 24% reduction in the growth of young brook trout.

174 ug/l of aluminum killed 58% of the exposed striped bass.

Bioaccumulation factors ranged from 50 to 231 for young brook trout exposed to aluminum for 15 days.

Aluminum at 169 ug/l caused a 24% reduction in the weight of young brook trout.

US EPA recommends that understanding the *Guidelines for Deriving Numerical National Water Quality Criteria for the Protection of Aquatic Organisms and Their Uses* is necessary in order to understand the text, tables and calculations of a criteria document. The Regional Board's assessment of the use of low hardness and low pH clearly shows they did not heed EPA's advice in reviewing the criteria development procedures for water quality criteria or the final recommendations. The Regional Board occasionally cites individual aluminum toxicity testing at Yuba City; again individual testing is not a valid replacement for developing fully protective

criteria. A prime example of a state utilizing good water quality standards development techniques for developing a site specific standard for aluminum is the state of Indiana where a final chronic criterion of 174 ug/l was established in 1997. In 2003, Canada adopted pH dependant freshwater aquatic life criteria for aluminum that ranges from 84 ug/l to 252 ug/l. Ignoring the final recommendation of the criteria misses the protective intermediate measures to protect against mortality and reductions to growth and reproduction. The Regional Board's single use of the acute criteria for aluminum is not protective of the beneficial uses of the receiving stream.

Based on information included in analytical laboratory reports submitted by the Discharger, aluminum in the discharge has a reasonable potential to cause or contribute to an in-stream excursion above a level necessary to protect aquatic life, and, therefore to violate the Basin Plan's narrative toxicity objective.

Federal Regulations, 40 CFR 122.44 (d)(i), requires that; "Limitations must control all pollutants or pollutant parameters (either conventional, nonconventional, or toxic pollutants) which the Director determines are or may be discharged at a level which will cause, have the reasonable potential to cause, or contribute to an excursion above any State water quality standard, including State narrative criteria for water quality." US EPA has interpreted 40 CFR 122.44(d) in *Central Tenets of the National Pollutant Discharge Elimination System (NPDES) Permitting Program* (Factsheets and Outreach Materials, 08/16/2002) that although States will likely have unique implementation policies there are certain tenets that may not be waived by State procedures. These tenets include that "where valid, reliable, and representative effluent data or instream background data are available they MUST be used in applicable reasonable potential and limits derivation calculations. Data may not be arbitrarily discarded or ignored." The California Water Code (CWC), Section 13377 states in part that: "...the state board or the regional boards shall...issue waste discharge requirements... which apply and ensure compliance with ...water quality control plans, or for the protection of beneficial uses..." Section 122.44(d) of 40 CFR requires that permits include water quality-based effluent limitations (WQBELs) to attain and maintain applicable numeric and narrative water quality criteria to protect the beneficial uses of the receiving water. A water quality standard for Failure to include an effluent limitation for aluminum in the proposed permit violates 40 CFR 122.44 and CWC 13377.



**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY**

**REGION IX**

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**JUN 24 2010**

Pamela Creedon  
Executive Officer  
Central Valley Regional Water Quality Control Board  
11020 Sun Center Drive, Suite 200  
Rancho Cordova, CA 95670

Re: Water Quality Criteria for Aluminum and the Placer County Sewer Maintenance District 1 WWTP (NPDES Permit No. CA0079316)

Dear Ms. Creedon:

We have reviewed Placer County Department of Facility Services' request, dated June 14, 2010, to relax the aluminum effluent limitations in the proposed NPDES permit. Relaxing the effluent limitations may degrade water quality, adversely affect beneficial uses, and conflict with federal anti-backsliding and/or anti-degradation requirements. These concerns need to be addressed to ensure the permit effectively protects water quality and complies with NPDES permitting requirements.

At its May 27, 2010 meeting, the Central Valley Regional Water Quality Control Board considered a proposed renewal of the NPDES permit for the Placer County Sewer Maintenance District 1 wastewater treatment plant. During the meeting, the discharger contested the applicability of EPA's National Recommended Water Quality Criteria for aluminum in determining reasonable potential for the discharge to exceed water quality standards and establishing effluent limitations. The discharger contested the use of the chronic aluminum criterion for protection of aquatic life since the criterion is based on a lower hardness than observed in the receiving waters. The 87 µg/l chronic aluminum criterion is based on a toxicity test with striped bass in water at pH between 6.5 and 6.6 standard units and hardness less than 10 mg/l.

The aluminum effluent limitations in the proposed permit were calculated by applying EPA-recommended aluminum criteria as an interpretation of the narrative toxicity standard in the Basin Plan. The effluent limitations were calculated in accordance with procedures described in the State Implementation Policy. The EPA criteria for aluminum were also applied to the existing permit for this facility to establish the average monthly and maximum daily effluent limitations.

We understand that the existing maximum daily effluent limitation has been met (with one exception) and the 30-day average effluent limitation has been met approximately 16 months out of 25 from 2006 to 2009. The discharger currently manipulates hardness in the effluent by adding magnesium hydroxide to provide

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alkalinity for the nitrification process. Based on data the discharger provided, the upstream receiving water hardness in Rock Creek ranges from 20 to 98 mg/l, but the lowest observed effluent hardness is 141 mg/l. We understand that the reported lowest ambient hardness values (20 mg/l) may actually be a detection limit as that specific value was reported in six consecutive samples taken in 2007. If future modification to the treatment process discontinues or reduces the use of magnesium hydroxide, the effluent hardness may be significantly reduced.

EPA has not formally changed its recommended aluminum criteria; the appropriate aluminum criteria values for higher hardness situations remain uncertain. The existing EPA-recommended chronic aluminum criterion of 87 µg/l is clearly protective of aquatic life and is appropriate for use in evaluating reasonable potential and establishing effluent limitations. As EPA's Charles Delos notes in his 2002 and 2010 letters, it may be reasonable to apply a higher criterion value if the ambient hardness levels are substantially and consistently higher than the values used in deriving the existing chronic criterion value. When considering whether to apply a higher criterion value, the Regional Board should carefully consider whether the high ambient and effluent hardness values asserted by the discharger are accurate and likely to continue in the future.

The Regional Board has discretion in interpreting the Basin Plan narrative toxicity standard and it may be possible to make a different reasonable potential conclusion or derive less stringent effluent limitations than provided in the existing permit. However, a decision to apply a higher criterion and relax or eliminate the effluent limitations imposed by the previous permit would have to be supported by thorough anti-degradation and anti-backsliding analyses. Recent data show that effluent concentrations of aluminum ranged between 12 and 162 µg/l. A decision to eliminate or raise the aluminum effluent limitations above current performance levels would trigger serious anti-degradation and anti-backsliding concerns as that action would, in effect, authorize aluminum discharges above current discharge and ambient levels. The information from Mr. Delos provided by the discharger does not constitute "new information" that provides a basis for backsliding from existing permit limitations as we understand that information was initially provided to Regional Board staff in 2002, prior to issuance of the existing permit.

Given the uncertainty about appropriate aluminum criteria levels for this situation and the need to carefully evaluate anti-degradation and anti-backsliding implications of removing or relaxing the aluminum limitations, EPA Region IX recommends the conservative approach of retaining the existing effluent limitations in the new permit.

If you wish to discuss our recommendations, please contact Elizabeth Sablad of my staff at (415) 972-3044.

Sincerely,

 24 June 2010  
Alexis Strauss, Director  
Water Division

**The City of Auburn fails to provide a minimum of Secondary Treatment as required by federal regulation, 40 CFR 133 and allows for bypass of treatment processes contrary to federal regulation 40 CFR 122.41(m)(1).**

The proposed Permit, page F-4, states that: “During extreme wet weather events when all of the equalization ponds are full, combined storm water and wastewater flows in excess of the hydraulic capacity of the secondary process of about 3 MGD are directed through the pond system, combined with flows from the secondary clarifiers, and directed to the tertiary filters and disinfection facilities.”

As is stated above, domestic wastewater is allowed to bypass the biological secondary treatment process is diluted in the pond system, combined with secondary wastewater, filtered and disinfected. Federal regulation 40 CFR 133.1(k) defines significant biological treatment; dilution is not an acceptable alternative to providing secondary treatment. Bypass of the secondary biological process also violates 40 CFR 122.41(m)(1) which prohibits diversion of wastestreams from any portion of a treatment facility. The City can accurately state that such a discharge is allowed under the proposed Permit since the Finding appears to allow the bypass and Discharge Prohibition No. A states that: “A. Discharge of wastewater at a location or in a manner different from that described in the Findings is prohibited.”

The proposed Permit, Section IIE, page F-10, discusses *Planned Changes* to the treatment processes at the treatment plant. The limited capacity of the secondary system is 3 million gallons per day. The only listed modification to the secondary process is the addition of a secondary clarifier and a brush aerator. A secondary clarifier will not increase the capacity of the biological process. A brush aerator will provide additional capability by adding more air to the biological process; however there is no information of the hydraulic retention reduction achieved for the process. Overall the *Planned Changes* are questionable as to whether the bypass of the secondary biological process will be eliminated.

The proposed Permit, page F-45 states that: “Order No. R5-2005-0030 did not require the Discharger to meet the stringent tertiary treatment requirements for BOD<sub>5</sub>, TSS, total coliform organisms, and turbidity when 20:1 dilution was available. However, the beneficial uses of the Auburn Ravine include municipal and domestic supply, water contact recreation, and agricultural irrigation supply, and there is, at times, less than 20:1 dilution. To protect these beneficial uses under all flow conditions, the Regional Water Board finds that the wastewater must be disinfected and adequately treated to prevent disease. The method of treatment is not prescribed by this Order; however, wastewater must be treated to a level equivalent to that recommended by DPH.”

Under the conditions described above, tertiary treatment is not achieved and not even full secondary treatment is provided. This condition threatens each beneficial use of the receiving water including aquatic life and public health. There is no indication that the City of Auburn has conducted any sampling during bypass of the secondary biological process to assess the quality and the threat and impacts to beneficial uses under high flow conditions and during bypass of the secondary biological process.

The proposed Permit states, as cited above, that tertiary treatment is required at all times which conflicts with the Finding that the biological process is bypassed during high flow events. The proposed permit must be modified or clarified that bypasses are prohibited and any level of treatment less than full tertiary is unacceptable. Since compliance is apparently not immediately achievable an appropriate enforcement action should be adopted along with the proposed Permit addressing this issue.

**The proposed Permit fails to contain mass-based effluent limits for chlorine, diazinon, beta-Endosulfan, chlorodibromomethane, dichlorobromomethane, endrin aldehyde, heptachlor, lead, aluminum, manganese and nitrate as required by Federal Regulations 40 CFR 122.45(b).**

Federal Regulation, 40 CFR 122.45 (b) requires that in the case of POTWs, permit Effluent Limitations, standards, or prohibitions shall be based on design flow.

Concentration is not a basis for design flow. Mass limitations are concentration multiplied by the design flow and therefore meet the regulatory requirement. Mass limits are critically important to assure that the facility is properly designed and capable of removing individual pollutants and to assure that the treatment facilities are not overloaded with the individual pollutant. The Regional Board's approach to priority pollutants is that treatment plants are designed to remove BOD, TSS and pathogens and that the removal of other priority pollutants is incidental; hence their removal of mass limitations from permits. This approach may have been generally successful prior to adoption of the National and California Toxics Rules which established stringent numerical limitations for priority pollutants. It is easy to recognize the failure of relying on conventional treatment plant design for addressing priority pollutants by the number of Time Schedule Orders and Cease and Desist Orders for noncompliant treatment systems regulated by the Central Valley Regional Board. This is also evidenced by the number of NTR and CTR noncompliant wastewater treatment plants in California's Central Valley. The design flow for priority pollutants is different for each individual pollutant and is different again from the conventional design flow for BOD and TSS. The treatment plant design flow for BOD and TSS removal is not the design flow rate for individual priority pollutants and toxic constituents such as ammonia and aluminum. A prime example of the requirements for individual pollutant removal is ammonia removal or nitrification; the design of activated sludge systems has been modified from simply being designed for BOD removal to achieve nitrification in many cases by providing extended aeration. This is likely why the proposed Permit contains mass limits for ammonia. Failure to include mass limits and design flows for priority pollutants maintains the incidental nature of past compliance and will not reliably achieve compliance with water quality standards for priority pollutants. For chlorine, diazinon, beta-Endosulfan, chlorodibromomethane, dichlorobromomethane, endrin aldehyde, heptachlor, lead, aluminum, manganese and nitrate the proposed Permit does not specify the design flow and does therefore not comply with the requirements of 40 CFR 122.45(b).

Section 5.7.1 of U.S. EPA's *Technical Support Document for Water Quality Based Toxics Control* (TSD, EPA/505/2-90-001) states with regard to mass-based Effluent Limits:



“Mass-based effluent limits are required by NPDES regulations at 40 CFR 122.45(f). The regulation requires that all pollutants limited in NPDES permits have limits, standards, or prohibitions expressed in terms of mass with three exceptions, including one for pollutants that cannot be expressed appropriately by mass. Examples of such pollutants are pH, temperature, radiation, and whole effluent toxicity. Mass limitations in terms of pounds per day or kilograms per day can be calculated for all chemical-specific toxics such as chlorine or chromium. Mass-based limits should be calculated using concentration limits at critical flows. For example, a permit limit of 10 mg/l of cadmium discharged at an average rate of 1 million gallons per day also would contain a limit of 38 kilograms/day of cadmium.

Mass based limits are particularly important for control of bioconcentratable pollutants. Concentration based limits will not adequately control discharges of these pollutants if the effluent concentrations are below detection levels. For these pollutants, controlling mass loadings to the receiving water is critical for preventing adverse environmental impacts.

However, mass-based effluent limits alone may not assure attainment of water quality standards in waters with low dilution. In these waters, the quantity of effluent discharged has a strong effect on the instream dilution and therefore upon the RWC. At the extreme case of a stream that is 100 percent effluent, it is the effluent concentration rather than the mass discharge that dictates the instream concentration. Therefore, EPA recommends that permit limits on both mass and concentration be specified for effluents discharging into waters with less than 100 fold dilution to ensure attainment of water quality standards.”

Federal Regulations, 40 CFR 122.45 (f), states the following with regard to mass limitations:

- “(1) all pollutants limited in permits shall have limitations, standards, or prohibitions expressed in terms of mass except:
  - (i) For pH, temperature, radiation or other pollutants which cannot be expressed by mass;
  - (ii) When applicable standards and limitations are expressed in terms of other units of measurement; or
  - (iii) If in establishing permit limitations on a case-by-case basis under 125.3, limitations expressed in terms of mass are infeasible because the mass of the pollutant discharged cannot be related to a measure of operation (for example, discharges of TSS from certain mining operations), and permit conditions ensure that dilution will not be used as a substitute for treatment.

(2) Pollutants limited in terms of mass additionally may be limited in terms of other units of measurement, and the permit shall require the permittee to comply with both limitations.”

In addition to the above citations, on June 26<sup>th</sup> 2006 U.S. EPA, Mr. Douglas Eberhardt, Chief of the CWA Standards and Permits Office, sent a letter to Dave Carlson at the Central Valley Regional Water Quality Control Board strongly recommending that NPDES permit effluent limitations be expressed in terms of mass as well as concentration.

It should be noted that the Regional Board does a great disservice to the Dischargers it regulates when they allow new or expanded treatment system to be built that are in immediate noncompliance with discharge limitations; this can be remedied by requiring the submittal of individual pollutant design parameters be submitted by the design engineers. The proposed Permit must be amended to include mass limitations for chlorine, diazinon, beta-Endosulfan, chlorodibromomethane, dichlorobromomethane, endrin aldehyde, heptachlor, lead, aluminum, manganese and nitrate. The design flow for each of the listed pollutants should be individually specified in the proposed Permit to confirm compliance with 40 CFR 122.45(b). Failure to include mass limitations for these pollutants will result in another inadequately designed treatment plant that will be noncompliant for the listed pollutants.

**The proposed Permit does not contain enforceable Effluent Limitations for chronic toxicity and therefore does not comply with the Basin Plan, Federal Regulations, at 40 CFR 122.44 (d)(1)(i) and the *Policy for Implementation of Toxics Standards for Inland Surface Waters, Enclosed Bays, and Estuaries of California* (SIP).**

Proposed Permit, State Implementation Policy states that: “On March 2, 2000, the State Water Board adopted the *Policy for Implementation of Toxics Standards for Inland Surface Waters, Enclosed Bays, and Estuaries of California* (State Implementation Policy or SIP). The SIP became effective on April 28, 2000 with respect to the priority pollutant criteria promulgated for California by the USEPA through the NTR and to the priority pollutant objectives established by the Regional Water Board in the Basin Plan. The SIP became effective on May 18, 2000 with respect to the priority pollutant criteria promulgated by the USEPA through the CTR. The State Water Board adopted amendments to the SIP on February 24, 2005 that became effective on July 13, 2005. The SIP establishes implementation provisions for priority pollutant criteria and objectives and provisions for chronic toxicity control. Requirements of this Order implement the SIP.”

The SIP, Section 4, Toxicity Control Provisions, Water Quality-Based Toxicity Control, states that: “A chronic toxicity effluent limitation is required in permits for all dischargers that will cause, have a reasonable potential to cause, or contribute to chronic toxicity in receiving waters.” The SIP is a state *Policy* and CWC Sections 13146 and 13247 require that the Board in carrying out activities which affect water quality shall comply with state policy for water quality control unless otherwise directed by statute, in which case they shall indicate to the State Board in writing their authority for not complying with such policy.

Federal regulations, at 40 CFR 122.44 (d)(1)(i), require that limitations must control all pollutants or pollutant parameters which the Director determines are or may be discharged at a level which will cause, or contribute to an excursion above any State water quality standard, including state narrative criteria for water quality. There has been no argument that domestic sewage contains toxic substances and presents a reasonable potential to cause toxicity if not properly treated and discharged. The Water Quality Control Plan for the Sacramento/ San Joaquin River Basins (Basin Plan), Water Quality Objectives (Page III-8.00) for Toxicity is a narrative criteria which states that all waters shall be maintained free of toxic substances in concentrations that produce detrimental physiological responses in human, plant, animal, or aquatic life. The Proposed Permit contains a narrative Effluent Limitation prohibiting the

discharge of chronically toxic substances: however a *Compliance Determination* has been added to the proposed Permit: “Compliance with the accelerated monitoring and TRE/TIE provisions of Provision VI.C.2.a shall constitute compliance with effluent limitations contained in sections IV.A.1.d and IV.B.1.d of this Order for chronic whole effluent toxicity “. The *Compliance Determination* nullifies the Effluent Limitation and makes toxic discharges unenforceable.

The proposed Permit, page 20 No. 2a, includes the following: “I. Chronic Whole Effluent Toxicity; “For compliance with the Basin Plan’s narrative toxicity objective, this Order requires the Discharger to conduct chronic whole effluent toxicity (WET) testing, as specified in the Monitoring and Reporting Program (Attachment E, section V).”

The Basin Plan narrative Toxicity Objective states that: “All waters shall be maintained free of toxic substances in concentrations that produce detrimental physiological responses in human, plant, or aquatic life. This objective applies regardless of whether the toxicity is caused by a single substance or the interactive effect of multiple substances. Compliance with this objective will be determined by analyses of indicator organisms, species diversity, population density, growth anomalies, and biotoxicity tests of appropriate duration or other methods as specified by the Regional Board.”

Monitoring cannot possibly comply with a limitation that a wastewater discharge shall not cause toxicity within the receiving stream.

According to the Basin Plan toxicity sampling is required to determine compliance with the requirement that all waters be maintained free of toxic substances. Sampling does not equate with or ensure that waters are free of toxic substances. The Tentative Permit requires the Discharger to conduct an investigation of the possible sources of toxicity if a threshold is exceeded. This language is not a limitation and essentially eviscerates the Regional Board’s authority, and the authority granted to third parties under the Clean Water Act, to find the Discharger in violation for discharging chronically toxic constituents. An enforceable effluent limitation for chronic toxicity must be included in the Order.

**The proposed Permit fails to implement the requirements of CCR Title 27 where the wastewater treatment and disposal operations have been previously shown to have degraded groundwater quality contrary to the requirements of the Basin Plan. The City of Auburn and the proposed Permit have failed to implement the Antidegradation Policy requirement that best practicable treatment and control (BPTC) of the wastewater discharge be provided.**

**CCR Title 27 §20090. “SWRCB - Exemptions.** (C15: §2511): The following activities shall be exempt from the SWRCB-promulgated provisions of this subdivision, so long as the activity meets, and continues to meet, all preconditions listed: (a) **Sewage**—Discharges of domestic sewage or treated effluent which are regulated by WDRs issued pursuant to Chapter 9, Division 3, Title 23 of this code, or for which WDRs have been waived, and which are consistent with applicable water quality objectives, and treatment or storage facilities associated with municipal wastewater treatment plants, provided that residual sludges or solid waste from wastewater treatment facilities shall be discharged only in accordance with the applicable SWRCB-

promulgated provisions of this division. (b) **Wastewater**—Discharges of wastewater to land, including but not limited to evaporation ponds, percolation ponds, or subsurface leachfields if the following conditions are met: (1) the applicable RWQCB has issued WDRs, reclamation requirements, or waived such issuance; (2) the discharge is in compliance with the applicable water quality control plan; and (3) the wastewater does not need to be managed according to Chapter 11, Division 4.5, Title 22 of this code as a hazardous waste.”

The Regional Board’s water quality control plan (Basin Plan) requires that:

### **WATER QUALITY OBJECTIVES FOR GROUND WATERS**

The following objectives apply to all ground waters of the Sacramento and San Joaquin River Basins, as the objectives are relevant to the protection of designated beneficial uses. These objectives do not require improvement over naturally occurring background concentrations. The ground water objectives contained in this plan are not required by the federal Clean Water Act.

#### **Bacteria**

In ground waters used for domestic or municipal supply (MUN) the most probable number of coliform organisms over any seven-day period shall be less than 2.2/100 ml.

#### **Chemical Constituents**

Ground waters shall not contain chemical constituents in concentrations that adversely affect beneficial uses. At a minimum, ground waters designated for use as domestic or municipal supply (MUN) shall not contain concentrations of chemical constituents in excess of the maximum contaminant levels (MCLs) specified in the following provisions of Title 22 of the California Code of Regulations, which are incorporated by reference into this plan: Tables 64431-A (Inorganic Chemicals) and 64431-B (Fluoride) of Section 64431, Table 64444-A (Organic Chemicals) of Section 64444, and Tables 64449-A (Secondary Maximum Contaminant Levels- Consumer Acceptance Limits) and 64449-B (Secondary Maximum Contaminant Levels-Ranges) of Section 64449. This incorporation-by-reference is prospective, including future changes to the incorporated provisions as the changes take effect. At a minimum, water designated for use as domestic or municipal supply (MUN) shall not contain lead in excess of 0.015 mg/l. To protect all beneficial uses, the Regional Water Board may apply limits more stringent than MCLs.

#### **Tastes and Odors**

Ground waters shall not contain taste- or odor producing substances in concentrations that cause nuisance or adversely affect beneficial uses.

#### **Toxicity**

Ground waters shall be maintained free of toxic substances in concentrations that produce detrimental physiological responses in human, plant, animal, or aquatic life associated with designated beneficial use(s). This objective applies regardless of whether the toxicity is caused by a single substance or the interactive effect of multiple substances.

The prior NPDES permit, R5-2005-0030, for the City of Auburn contained the following Findings and Provision regarding groundwater:

“Finding No. 5 states that: “5. The Discharger utilizes unlined equalization ponds. The quality of the raw domestic wastewater contained in the unlined equalization ponds is largely uncharacterized. Available monitoring of the water contained in the ponds indicates an average ammonia concentration of 13 mg/l, an average chloride concentration of 29 mg/l, and an average total dissolved solids concentration of 200 mg/l. Raw domestic wastewater also contains high concentrations of pathogens. The unlined nature of the ponds allows the percolation of raw wastewater into the underlying soil and, potentially, to groundwater. Based on groundwater monitoring data submitted by the Discharger, pollutants have migrated to groundwater.”

R5-2005-0030, Findings No. 51, 52, 53, respectively found that:

“Based on information included in analytical laboratory results submitted by the Discharger as part of its quarterly groundwater monitoring reports, the raw domestic wastewater contained in the unlined ponds has degraded underlying groundwater for total dissolved solids (TDS).”

“Based on information included in analytical laboratory results submitted by the Discharger as part of its quarterly groundwater monitoring reports, the raw domestic wastewater contained in the unlined ponds has degraded underlying groundwater for nitrate and caused an exceedance of the Basin Plan groundwater chemical constituents objective of 10 mg/l.”

“Based on information included in analytical laboratory results submitted by the Discharger as part of its quarterly groundwater monitoring reports, the raw domestic wastewater contained in the unlined ponds has degraded underlying groundwater for total coliform organisms and caused an exceedance of the Basin Plan groundwater bacteria objective of 2.2 MPN/100 ml.”

“Finding No. 54: The degradation of groundwater by constituents specified in the groundwater limitations in this Order, and by constituents that can be effectively removed by conventional treatment (*e.g.*, oxygen-demanding substances, nutrients, bacteria) is inconsistent with Resolution 68-16. The Regional Board finds that BPTC is not being provided for the land disposal of wastewater at this facility. Waste treatment and control at this facility could include, but is not necessarily limited to, lining of the pond(s) regularly containing untreated or partially treated wastewater. The ponds provide for emergency storage and equalization of the influent flow; technology is readily and cost-effectively available to achieve these worthwhile goals, without allowing percolation to groundwater. This Order contains Provision G.6, which includes a time schedule requiring the Discharger to implement BPTC.”

“Provision No. 6. **BPTC Evaluation Tasks.** The Discharger shall propose a work plan and schedule for providing BPTC as required by Resolution 68-16. The technical report

describing the work plan and schedule shall contain a preliminary evaluation of each component and propose a time schedule for completing the comprehensive technical evaluation. Following completion of the comprehensive technical evaluation, the Discharger shall submit a technical report describing the evaluation's results and critiquing each evaluated component with respect to BPTC and minimizing the discharge's impact on groundwater quality. Where deficiencies are documented, the technical report shall provide recommendations for necessary modifications (*e.g.*, new or revised salinity source control measures, WWTP component upgrade and retrofit) to achieve BPTC and identify the source of funding and proposed schedule for modifications. The schedule shall be as short as practicable but in no case shall completion of the necessary modifications exceed four years past the Executive Officer's determination of the adequacy of the comprehensive technical evaluation, unless the schedule is reviewed and specifically approved by the Regional Board. The technical report shall include specific methods the Discharger proposes as a means to measure processes and assure continuous optimal performance of BPTC measures. The Discharger shall comply with the following compliance schedule in implementing the work required by this Provision..."

The wastewater discharge has degraded groundwater quality in violation of the Basin Plan and does therefore not meet the requirements for an exemption from CCR Title 27.

The proposed Permit, Page 22, instead of properly applying the requirements of CCR Title 27, states that:

**c. Best Practical Treatment or Control (BPTC).** The Discharger shall propose a work plan and schedule for providing BPTC as required by Resolution 68-16 for iron in the groundwater underlying the equalization ponds. The technical report describing the work plan and schedule shall contain a preliminary evaluation of each component and propose a time schedule for completing the comprehensive technical evaluation."

Page F-59: "Order No. R5-2005-0030 established quarterly groundwater monitoring and a requirement to perform a BPTC evaluation. To comply with the BPTC requirements, the Discharger lined Pond 1A in 2007 with a plastic liner and implemented procedures to empty the remaining ponds as soon as practicable after storm flows subside. The Discharger submitted a *Background Evaluation Report, City of Auburn Wastewater Treatment Plant, Auburn, California* (BSK Associates) dated 20 May 2010, to determine natural background quality and compare measured concentrations in downgradient monitoring wells to monitor impacts from the equalization ponds against natural background concentrations. Based on the statistical evaluation in the report, the Discharger concluded that there has likely been a release of the metals barium, copper, iron, manganese, nickel, strontium, and vanadium from the ponds to the downgradient groundwater; however, only iron exceeds the applicable water quality objective (*i.e.*, the Secondary MCL) and the background concentration in the downgradient wells. Iron also exceeded the Secondary MCL in the upgradient well.

The Regional Water Board is concerned with the high concentrations of iron in both the upgradient and downgradient monitoring wells and the possibility that the natural background quality is acidic, which naturally results in higher iron concentrations. Restricting discharges of iron to groundwater may not reduce the impact to groundwater. Thus, groundwater limitations for iron will not be established at this time. This Order requires the Discharger to conduct a BPTC study to further evaluate natural background quality, how discharges from the ponds are impacting groundwater, and a work plan and schedule for providing BPTC as required by Resolution 68-16 for iron in the groundwater underlying the equalization ponds, which may include, but is not limited to, lining of the equalization ponds.

The City of Auburn has degraded groundwater and has not met the Antidegradation Policy requirement that best practicable treatment and control (BPTC) of the wastewater discharge be provided. The Discharger has not only degraded groundwater quality as detailed in Order No. R5-2005-0030 for TDS, nitrate and coliform, but has also degraded groundwater quality with barium, copper, iron, manganese, nickel, strontium, and vanadium. The discharge of wastewater has caused exceedance of the Basin Plan water quality objectives in some instances and has degraded groundwater quality in others. The degradation of groundwater is not allowed under the Antidegradation Policy, Resolution 68-16, which is a part of the Basin Plan unless the degradation is in the best interest of the people of California and BPTC has been provided. BPTC has not been provided as detailed in the previous and proposed Permit. In no case does the Antidegradation Policy allow for an exceedance of water quality objectives. The wastewater discharge is not in compliance with the water quality control plan (Basin Plan) and therefore cannot be exempted from CCR Title 27.

The proposed Permit, page 14, contains: “B. Groundwater Limitations, Release of waste constituents from any storage, treatment, or disposal component associated with the Facility shall not, in combination with other sources of the waste constituents, cause groundwater within influence of the Facility to contain waste constituents in concentrations in excess of natural background quality or that listed below, whichever is greater.” Normally, background groundwater quality does not exceed water quality standards, which appears to be the case at the City of Auburn. Under this circumstance, the proposed Permit allows the Discharger to degrade water quality to the point where it equals the water quality standard. This is contrary to the Antidegradation Policy which first requires the Discharger show that ANY degradation is in the best interest of the people of California and that BPTC is being provided. The proposed Permit puts the cart before the horse and allows degradation to water quality standards absent compliance with the Antidegradation Policy. The proposed Permit cited paragraph should be revised to state “whichever is less” in accordance with the Antidegradation Policy.

**The Central Valley Regional Water Board (Region 5) NPDES Permits establish Effluent Limitations for metals based on the hardness of the effluent and/or the downstream water and rarely use the ambient upstream receiving water hardness as required by Federal Regulations, the California Toxics Rule (CTR, 40 CFR 131.38(c)(4)).**

The proposed Permit contains the following:

“The upstream receiving water hardness in Auburn Ravine ranged from 10 mg/L to 110 mg/L, based on 43 samples from September 2006 to March 2010. Thus, a minimum upstream receiving water hardness of 10 mg/L (as CaCO<sub>3</sub>) represents the reasonable worst-case upstream hardness and was used to adjust the criterion when comparing the maximum receiving water background concentration to the criterion. For comparing the MEC to the applicable criterion, in accordance with the SIP, CTR, and Davis Order, the reasonable worst-case downstream hardness was used to adjust the criterion. The procedures for determining the applicable criterion after proper adjustment using the reasonable worst-case downstream hardness is outlined in subsection ii below.” (Permit page F-20)

“As demonstrated in Table F-5, using a hardness of 70 mg/L (as CaCO<sub>3</sub>) to calculate the ECA ensures the discharge is protective under all discharge and mixing conditions.” (Permit page F-22)

“Using Equation 3 to calculate the ECA for all Concave Up Metals will result in WQBELs that are protective under all potential effluent/receiving water mixing scenarios and under all known hardness conditions, as demonstrated in Table F-6, for lead. In this example, the effluent is in compliance with the CTR criteria and any mixture of the effluent and receiving water is in compliance with the CTR criteria. Use of a lower ECA (e.g., calculated based solely on the lowest upstream receiving water hardness) is also protective, but would lead to unreasonably stringent effluent limits considering the known conditions. Therefore, Equation 3 has been used to calculate the ECA for all Concave Up Metals in this Order.” (Permit page F-24)

Federal Regulation 40 CFR 131.38(c)(4) states that: “For purposes of calculating freshwater aquatic life criteria for metals from the equations in paragraph (b)(2) of this section, for waters with a hardness of 400 mg/l or less as calcium carbonate, the actual ambient hardness of the surface water shall be used in those equations.” (Emphasis added). The definition of *ambient* is “in the surrounding area”, “encompassing on all sides”. It has been the Region 5, Sacramento, NPDES Section, in referring to Basin Plan objectives for temperature, to define *ambient* as meaning upstream. It is reasonable to assume, after considering the definition of ambient, that EPA is referring to the hardness of the receiving stream before it is potentially impacted by an effluent discharge. It is also reasonable to make this assumption based on past interpretations and since EPA, in permit writers’ guidance and other reference documents, generally assumes receiving streams have dilution, which would ultimately “encompass” the discharge. Ambient conditions are in-stream conditions unimpacted by the discharge. Confirming this definition, the SIP Sections 1.4.3.1 *Ambient Background Concentration as an Observed Maximum* and 1.4.3.2 state in part that: “If possible, preference should be given to ambient water column concentrations measured immediately upstream or near the discharge, but not within an allowed mixing zone for the discharge. The RWQCB shall have discretion to consider if any samples are invalid for use as applicable data due to evidence that the sample has been erroneously reported or the sample is not representative of the ambient receiving water column that will mix with the discharge.”



The Regional Board has used the effluent hardness and the instream effluent hardness measured immediately downstream of the point of discharge, calling such “ambient”. Ambient is defined as “surrounding”; not “in the middle of”. Regional Board staff have begun to define any hardness used (effluent, upstream and downstream) as being “ambient”. The result of using a higher effluent or downstream hardness value is that metals are toxic at higher concentrations, discharges have less reasonable potential to exceed water quality standards and the resulting Permits have fewer Effluent Limitations.

The most typical wastewater discharge situation is where the receiving water hardness is lower than the effluent hardness. Metals are more toxic in lower hardness water. For example; if the receiving water hardness is 25 mg/l and the effluent hardness is 50 mg/l a corresponding chronic discharge limitation for copper based on the different hardness’s would be 2.9 ug/l and 5.2 ug/l, respectively. Obviously, the limitation based on the true ambient (upstream) receiving water hardness is more restrictive.

The Regional Board’s use of hardnesses other than the upstream is based on an approach developed by Dr. Robert Emerick, of Eco:Logic Engineers. Dr. Emerick developed a different approach for evaluating hardness-dependent metals that used effluent and downstream hardness values in assessing reasonable potential and developing effluent limits. He subsequently presented his approach at the Water Board’s Training Academy and the Regional Board has adopted this methodology as a defacto policy in developing and issuing wastewater discharge permits. Dr. Emerick’s approach has never been evaluated or adopted through the legally mandated rule-making procedures. Use of the policy has resulted in fewer and less stringent and less protective limits in numerous permits.

The Federal Register, Volume 65, No. 97/Thursday, May 18<sup>th</sup> 2000 (31692), adopting the California Toxics Rule in confirming that the ambient hardness is the upstream hardness, absent the wastewater discharge, states that: “A hardness equation is most accurate when the relationship between hardness and the other important inorganic constituents, notably alkalinity and pH, are nearly identical in all of the dilution waters used in the toxicity tests and in the surface waters to which the equation is to be applied. If an effluent raises hardness but not alkalinity and/or pH, using the lower hardness of the downstream hardness might provide a lower level of protection than intended by the 1985 guidelines. If it appears that an effluent causes hardness to be inconsistent with alkalinity and/or pH the intended level of protection will usually be maintained or exceeded if either (1) data are available to demonstrate that alkalinity and/or pH do not affect the toxicity of the metal, or (2) the hardness used in the hardness equation is the hardness of upstream water that does not include the effluent. The level of protection intended by the 1985 guidelines can also be provided by using the WER procedure.”

On March 24, 2000 the US Fish and Wildlife Service (Service) and the National Marine Fisheries Service (NMFS) issued a biological opinion on the effects of the final promulgation of the CTR on listed species and critical habitats in California in accordance with section 7 of the Endangered Species Act of 1973, as amended (16 USC 1531 et seq.; Act). The biological opinion was issued to the U.S. Environmental Protection Agency, Region 9, with regard to the “Final Rule for the Promulgation of Water Quality Standards: Establishment of Numeric Criteria for Priority Toxic Pollutants for the State of California” (CTR)”. The document represented the

Services' final biological opinion on the effects of the final promulgation of the CTR on listed species and critical habitats in California in accordance with section 7 of the Endangered Species Act of 1973, as amended (16 USC 1531 et seq.; Act).

The biological opinion contains the following discussion, beginning on page 205, regarding the use of hardness in developing limitations for toxic metals:

“The CTR should more clearly identify what is actually to be measured in a site water to determine a site-specific hardness value. Is the measure of hardness referred to in the CTR equations a measure of the water hardness due to calcium and magnesium ions only? If hardness computations were specified to be derived from data obtained in site water calcium and magnesium determinations alone, confusion could be avoided and more accurate results obtained (APHA 1985). Site hardness values would thus not include contributions from other multivalent cations (e.g., iron, aluminum, manganese), would not rise above calcium + magnesium hardness values, or result in greater-than-intended site criteria when used in formulas. In this Biological opinion, what the Services refer to as hardness is the water hardness due to calcium + magnesium ions only.

The CTR should clearly state that to obtain a site hardness value, samples should be collected upstream of the effluent source(s). Clearly stating this requirement in the CTR would avoid the computation of greater-than-intended site criteria in cases where samples were collected downstream of effluents that raise ambient hardness, but not other important water qualities that affect metal toxicity (e.g., pH, alkalinity, dissolved organic carbon, calcium, sodium, chloride, etc.). Clearly, it is inappropriate to use downstream site water quality variables for input into criteria formulas because they may be greatly altered by the effluent under regulation. Alterations in receiving water chemistry by a discharger (e.g., abrupt elevation of hardness, changes in pH, exhaustion of alkalinity, abrupt increases in organic matter etc.) should not result, through application of hardness in criteria formulas, in increased allowable discharges of toxic metals. If the use of downstream site water quality variables were allowed, discharges that alter the existing, naturally-occurring water composition would be encouraged rather than discouraged. Discharges should not change water chemistry even if the alterations do not result in toxicity, because the aquatic communities present in a water body may prefer the unaltered environment over the discharge-affected environment. Biological criteria may be necessary to detect adverse ecological effects downstream of discharges, whether or not toxicity is expressed.

The CTR proposes criteria formulas that use site water hardness as the only input variable. In contrast, over twenty years ago Howarth and Sprague (1978) cautioned against a broad use of water hardness as a “shorthand” for water qualities that affect copper toxicity. In that study, they observed a clear effect of pH in addition to hardness. Since that time, several studies of the toxicity of metals in test waters of various compositions have been performed and the results do not confer a singular role to hardness in ameliorating metals toxicity. In recognition of this fact, most current studies carefully vary test water characteristics like pH, calcium, alkalinity, dissolved organic carbon, chloride, sodium, suspended solid s, and others while observing the responses of

test organisms. It is likely that understanding metal toxicity in waters of various chemical makeups is not possible without the use of a geochemical model that is more elaborate than a regression formula. It may also be that simple toxicity tests (using mortality, growth, or reproductive endpoints) are not capable of discriminating the role of hardness or other water chemistry characteristics in modulating metals toxicity (Erickson *et al.* 1996). Gill surface interaction models have provided a useful framework for the study of acute metals toxicity in fish (Pagenkopf 1983; Playle *et al.* 1992; Playle *et al.* 1993a; Playle *et al.* 1993b; Janes and Playle 1995; Playle 1998), as have studies that observe physiological (e.g. ion fluxes) or biochemical (e.g. enzyme inhibition) responses (Lauren and McDonald 1986; Lauren and McDonald 1987a; Lauren and McDonald 1987b; Reid and McDonald 1988; Verbost *et al.* 1989; Bury *et al.* 1999a; Bury *et al.* 1999b). Even the earliest gill models accounted for the effects of pH on metal speciation and the effects of alkalinity on inorganic complexation, in addition to the competitive effects due to hardness ions (Pagenkopf 1983). Current gill models make use of sophisticated, computer-based, geochemical programs to more accurately account for modulating effects in waters of different chemical makeup (Playle 1998). These programs have aided in the interpretation of physiological or biochemical responses in fish and in investigations that combine their measurement with gill metal burdens and traditional toxicity endpoints.

The Services recognize and acknowledge that hardness of water and the hardness acclimation status of a fish will modify toxicity and toxic response. However the use of hardness alone as a universal surrogate for all water quality parameters that may modify toxicity, while perhaps convenient, will clearly leave gaps in protection when hardness does not correlate with other water quality parameters such as DOC, pH, Cl- or alkalinity and will not provide the combination of comprehensive protection and site specificity that a multivariate water quality model could provide. In our review of the best available scientific literature the Services have found no conclusive evidence that water hardness, by itself, in either laboratory or natural water, is a consistent, accurate predictor of the aquatic toxicity of all metals in all conditions.

SWRCB presidential Order No. WQ 2008-0008 (Corrected) regarding a petition for consideration of the City of Davis' NPDES Permit states and concludes that:

“Based on the current record, it would be more appropriate to use the lowest reliable upstream receiving water hardness values of 78 mg/l for Willows Slough Bypass and 85 mg/l for Conaway Ranch Toe Drain for protection from acute toxicity impacts, regardless of when the samples were taken or whether they were influenced by storm events. Because high flow conditions may deviate from the design flow conditions for selection of hardness as specified in the CTR, it may not be necessary, in some circumstances, to select the lowest hardness values from high flow or storm event conditions. Regardless of the hardness used, the resulting limits must always be protective of water quality criteria under all flow conditions.”

**“Conclusion:** The Central Valley Water Board was justified in using upstream receiving water hardness values rather than effluent hardness values. However, for protection from

acute toxicity impacts in the receiving waters, which can occur in short durations even during storm events, in this case, based on the existing record, the Central Valley Water Board should have used the lowest valid upstream receiving water hardness values of 78 mg/l for Willow Slough Bypass and 85 mg/l for Conaway Ranch Toe Drain. Effluent limitations must protect beneficial uses considering reasonable, worst-case conditions. We recognize that this approach does not necessarily agree with conclusions in other guidance stating that low flow conditions are the “worst-case” conditions. However, nothing in this Order is intended to suggest that low flows are inappropriate for determining the reasonable, worst-case conditions in other contexts.” (Emphasis added)

The Regional Board cited the State Board’s Water Quality Order (WQO)(No. 2008 0008) for the City of Davis as allowing complete discretion in utilizing the downstream hardness in deriving limits for toxic metals. WQO 2008 0008 in requiring the Regional Board to modify their permit states: “Revise the Fact Sheet to include a discussion of the appropriate hardness to use to protect from acute toxicity impacts (which can occur in short-term periods including storm events) in the receiving waters. The Fact Sheet should also state that the lowest valid upstream receiving water hardness values of 78 mg/l for Willow Slough Bypass and 85 mg/l for Conaway Ranch Toe Drain should be used to determine reasonable potential for the effluent to exceed the hardness-dependent metal CTR criteria, unless additional evidence and analysis, consistent with this Order, demonstrates that different hardness values are appropriate to use and are fully protective of water quality.” The Regional Board did not use the lowest observed upstream hardness as required in WQO 2008 0008. The Regional Board has not provided additional evidence and analysis demonstrating that different hardness is fully protective of beneficial uses. To the contrary, the Regional Board does not address the March 24, 2000 the US Fish and Wildlife Service (Service) and the National Marine Fisheries Service (NMFS) CTR Biological Opinion cited above stating that the use of hardness alone is not protective of beneficial uses and recommending the sole use of the ambient upstream hardness in developing limits for toxic metals.

The Regional Board’s arguments with regard to effluent and/or downstream receiving water hardness can only be made if in-stream mixing is considered. Mixing zones may be granted in accordance with extensive requirements contained in the SIP and the Basin Plan to establish Effluent Limitations. Mixing zones cannot be considered in conducting a reasonable potential analysis to determine whether a constituent will exceed a water quality standard or objective. The Regional Board’s approach in using the effluent or downstream hardness to conduct a reasonable potential analysis and consequently establish effluent limitations can only be utilized if mixing is considered; otherwise the ambient (upstream) hardness results in significantly more restrictive limitations. A mixing zone allowance has not been discussed with regard to this issue and therefore does not comply with the SIP.

The Regional Board states that: “Use of a lower ECA (e.g., calculated based solely on the lowest upstream receiving water hardness) is also protective, but would lead to unreasonably stringent effluent limits considering the known conditions.” This statement is unsupported by any factual information in the record. The Regional Board does not submit a single technical or legal document to support their position that limitations based on the lowest observed upstream ambient hardness is overly protective. To the contrary, the above quoted biological opinion by

toxicity experts at the US Fish and Wildlife Service (Service) and the National Marine Fisheries Service (NMFS) state that the use of hardness alone is not protective of beneficial uses and recommending the sole use of the ambient upstream hardness in developing limits for toxic metals. To this end, the US EPA has altered the ambient criteria for copper to utilize all the various factors cited by the Service and NMFS in addition to hardness. Despite EPA's modification of the ambient criteria for copper, the Regional Board ignores the new criteria, clinging to their methodology at developing fewer and less restrictive effluent limitations. In any case, the Regional Board must comply with the Regulations; while there may be regulatory flexibility to be more restrictive, there is no such flexibility to be less restrictive than promulgated regulations.

The issue is that the Regional Board fails to comply with the regulatory requirement to use the ambient instream hardness for limiting hardness dependant metals under the CTR. Failure to utilize the upstream ambient hardness for determining reasonable potential and developing limitations results in fewer and less restrictive Effluent Limitations.

**The Discharger altered the character of the wastewater discharge, did not apply for a revision of the Permit to accommodate the change and did not undertake any Antidegradation Policy assessment for the addition of substances that alter the discharge hardness resulting in the lowering of water quality.**

On March 24, 2000 the US Fish and Wildlife Service (Service) and the National Marine Fisheries Service (NMFS) issued a biological opinion on the effects of the final promulgation of the CTR on listed species and critical habitats in California in accordance with section 7 of the Endangered Species Act of 1973, as amended (16 USC 1531 et seq.; Act). The biological opinion was issued to the U.S. Environmental Protection Agency, Region 9, with regard to the "Final Rule for the Promulgation of Water Quality Standards: Establishment of Numeric Criteria for Priority Toxic Pollutants for the State of California" (CTR)". The document represented the Services' final biological opinion on the effects of the final promulgation of the CTR on listed species and critical habitats in California in accordance with section 7 of the Endangered Species Act of 1973, as amended (16 USC 1531 et seq.; Act). The biological opinion contains the following discussion, beginning on page 205, regarding the use of hardness in developing limitations for toxic metals:

"The CTR should clearly state that to obtain a site hardness value, samples should be collected upstream of the effluent source(s). Clearly stating this requirement in the CTR would avoid the computation of greater-than-intended site criteria in cases where samples were collected downstream of effluents that raise ambient hardness, but not other important water qualities that affect metal toxicity (e.g., pH, alkalinity, dissolved organic carbon, calcium, sodium, chloride, etc.). Clearly, it is inappropriate to use downstream site water quality variables for input into criteria formulas because they may be greatly altered by the effluent under regulation. Iterations in receiving water chemistry by a discharger (e.g., abrupt elevation of hardness, changes in pH, exhaustion of alkalinity, abrupt increases in organic matter etc.) should not result, through application of hardness in criteria formulas, in increased allowable discharges of toxic metals. If the use of downstream site water quality variables were allowed, discharges that alter the existing,

naturally-occurring water composition would be encouraged rather than discouraged. Discharges should not change water chemistry even if the alterations do not result in toxicity, because the aquatic communities present in a water body may prefer the unaltered environment over the discharge-affected environment. Biological criteria may be necessary to detect adverse ecological effects downstream of discharges, whether or not toxicity is expressed.”

As predicted by the Services in their biological opinion, the proposed Permit states that:

“The Discharger began manually adding lime to the secondary treatment process in specific doses in February 2009 to enhance denitrification, resulting in an increase of the effluent hardness. The Discharger added an automatic lime feed system in March 2010. Addition of the automatic lime feed system, which is necessary to achieve adequate denitrification, is a permanent change to the treatment system and the Discharger does not anticipate taking the system offline during the term of the permit. Thus, only effluent monitoring for hardness conducted since the modification to the treatment system in February 2009 was considered. The minimum effluent hardness was 70 mg/L (as CaCO<sub>3</sub>), based on 14 samples from February 2009 to March 2010, while the upstream receiving water hardness varied from 10 mg/L to 110 mg/L (as CaCO<sub>3</sub>), based on 43 samples from September 2006 to March 2010.” (Permit pages F-21 and 22)

Instead of heeding the advice from the “services”, the Regional Board proposes to reward the Discharger for their degradation of water quality by granting Effluent Limitations for hardness dependant metals which are significantly relaxed due to the use of effluent hardness in a degraded state.

In advanced wastewater treatment plants, lime precipitation may be employed in tertiary processes in which phosphorus is precipitated as complex calcium phosphates along with other suspended and dissolved solids. Due to the high pH of 10.5-11.0 maintained by lime, the stripping of nitrogen, another nutrient is facilitated. Lime will react with carbon dioxide to regenerate calcium carbonate.

Hard waters are less desirable than soft principally due to the reduction of the effectiveness of soaps, staining and particle buildup in plumbing pipes and fixtures. Water softeners and the associated salt discharges are well documented throughout California to control hard water. The addition of hardness to water is widely considered degradation.

**The proposed Permit contains absurd and technically unsound statements regarding pathogen levels, disinfection and the drinking water beneficial use of the receiving water leaving it clear that the beneficial use is not protected contrary to the California Water Code and Federal regulation.**

The California Water Code (CWC), Section 13377 states in part that: “...the state board or the regional boards shall...issue waste discharge requirements... which apply and ensure compliance with ...water quality control plans, or for the protection of beneficial uses...” Section 122.44(d) of 40 CFR requires that permits include water quality-based effluent limitations (WQBELs) to

attain and maintain applicable numeric and narrative water quality criteria to protect the beneficial uses of the receiving water.

The proposed Permit contains the following statements regarding the drinking water beneficial use:

“Total coliform organisms are an indicator of the level of pathogens in the effluent. Therefore, effluent limitations for total coliform organisms are necessary to control the discharge of pathogens, and have been included in this Order. In site-specific situations where a discharge is occurring to a stream with a downstream water intake used as a domestic water supply without treatment, the DPH has recommended the same Title 22 tertiary treatment requirements for the protection of MUN, as well as protecting REC-1 and AGR. DPH has also recommended a 20:1 dilution ratio in addition to the Title 22 tertiary treatment requirement where there are existing domestic water users of raw water near the treatment plant outfall. In this case, there are no such known uses that could be affected by the discharge, so tertiary treatment plus 20:1 dilution is not necessary to protect the MUN, REC-1 or AGR uses.” (Emphasis added)

“For public water supplies, State and federal law require residual chlorine and/or UV disinfection of surface water. (*See, e.g.,* Surface Water Treatment Rule, 40 C.F.R. Part 141, Subpart H; Cal. Code of Regs. Title 22, section 64447.) Treating pathogens to a level more stringent than tertiary treatment requires a chlorine residual in the effluent that is toxic to aquatic life in the receiving water. Pathogens are not bio-accumulative, so discharges at the permitted levels in this Order do not threaten potential uses of the receiving water for untreated domestic use. Therefore, the requirement to implement tertiary treatment only when 20:1 dilution is not available adequately protects beneficial uses and is appropriate for this discharge under the case-by-case approach.” (Emphasis added)

The Permit cites that sometimes the Department of Public Health recommends that tertiary treatment plus a twenty to one dilution ratio is necessary to protect the drinking water beneficial use; sometimes they don't. The proposed Permit fails however to cite the Department of Public Health's official position on the matter. Direct ingestion is a more sensitive use of water than contact recreation uses or eating food crops irrigated with treated sewage. In 1987 DPH issued the *Uniform Guidelines for the Disinfection of Wastewater* (Uniform Guidelines) as recommendations to the Regional Water Quality Control Boards regarding disinfection requirements for wastewater discharges to surface waters. The Uniform Guidelines recommend a “no discharge” of treated domestic wastewater to freshwater streams used for domestic water supply. Where is not possible to prevent a wastewater discharge: the Uniform Guidelines recommend that no discharge be allowed unless a minimum of a twenty-to-one in stream dilution is available. The DPH has reiterated the recommendations of the Uniform Guidelines to the Central Valley Regional Board on numerous occasions: specifically a 1 July 2003 letter to the Executive Officer (Thomas Pinkos); a 28 September 2000 Memorandum to regional and district engineers from Jeff Stone; and cite specific recommendations for the City of Jackson's wastewater discharge. A discharge of tertiary treated domestic wastewater to an ephemeral stream is not protective of the domestic and municipal beneficial uses of the receiving stream.

CCR Title 22 is cited in the proposed Permit as the source of information for requiring tertiary treatment to protect the contact recreation and food crop irrigation beneficial uses of the receiving stream. CCR Title 22 does not discuss or provide a level of treatment adequate to protect drinking water. To the contrary, Title 22 contains numerous requirements (60310) to prevent cross connections with potable water supplies, setback requirements from domestic supplies and wells, and warning signs not to drink the water: “RECLAIMED WATER DO NOT DRINK” verifying that tertiary treated domestic wastewater is not fit for human consumption. Tertiary treated wastewater discharged to ephemeral streams is not of adequate quality for municipal use and is therefore not protective of the DOM beneficial use.

The Basin Plan, Implementation, Page IV-24-00, prohibits the discharge of wastewater to low flow streams as a permanent means of disposal and requires the evaluation of land disposal alternatives, Implementation, Page IV-15.00, Policies and Plans (2) Wastewater Reuse Policy. The Basin Plan, Implementation, Page IV-24-00, Regional Water Board prohibitions, states that: “Water bodies for which the Regional Water Board has held that the direct discharge of waste is inappropriate as a permanent disposal method include sloughs and streams with intermittent flow or limited dilution capacity.” The proposed Permit characterizes the receiving stream as low flow, or ephemeral, with no available dilution. The proposed Permit does not discuss any efforts to eliminate the discharge to surface water and compliance with the Basin Plan Prohibition. Federal Regulation 40 CFR 122.4 states that no permit shall be issued for any discharge when the conditions of the permit do not provide for compliance with the applicable requirements of the CWA and are inconsistent with a plan or plan amendment.

The proposed Permit states that there are no known water intakes for domestic or municipal uses; however there is no evidence that the Regional Board conducted ANY investigation into the actual instream uses prior to making the unsupported conclusory statement. There is also no record of a site-specific consultation with DPH. Had the Regional Board actually investigated any actual uses they may have reached the same conclusion which was reported in the Sacramento Bee on March 11<sup>th</sup> 2009 which quoted: “Vicky Whitney, deputy director of the State Water Resources Control Board, said officials know little about the amount of water consumed by so-called “riparian” water rights holders. Riparian rights, usually attached to properties that border streams, are the most senior category of water entitlement in California. Riparian rights holders must annually report to the state how much water they divert. But Whitney said only about 10 percent do so, and her agency does not have the power to enforce compliance.” It is unlikely that the Regional Board has any information regarding the actual uses of the receiving stream; but has chosen to err on the side of the wastewater producer rather than water quality.

The proposed Permit states that: “Treating pathogens to a level more stringent than tertiary treatment requires a chlorine residual in the effluent that is toxic to aquatic life in the receiving water.” This is simply incorrect; Reverse osmosis, nanofiltration and ultra filtration are capable of removing pathogens beyond the molecular and macromolecular ranges.

The proposed Permit states that: “Pathogens are not bio-accumulative, so discharges at the permitted levels in this Order do not threaten potential uses of the receiving water for untreated domestic use.” This statement is difficult to characterize without being offensive, so let’s just



say it's wrong. Pathogens can regrow in a warm blooded host. Pathogens can also remain viable in surface waters and the environment for extended periods of time. Contact and regrowth of pathogens not only could be considered to equate to or exceed bio-accumulation but also bio-magnification. The often cited Beach Standard, which is likely the source of the Basin Plan's coliform objective, allows for an acceptable illness rate of 8 swimmers out of every 1,000; which was derived based on economics. This standard or objective does not account for domestic or municipal uses. An exact illness rate for tertiary treatment and surface water discharges has not been assessed.

Drinking water (MUN) and domestic uses (DOM) are designated beneficial uses of the receiving stream. The proposed Permit virtually admits that the beneficial uses are not protected since "there are no known water intakes for domestic or municipal uses." The Regional Board cannot choose whether to protect a beneficial use, such is required by the CWC and Federal Regulation. Dedicating the beneficial uses is a formal Basin Planning procedure which cannot be undertaken in the permitting process.

The Regional Board's discussion of drinking water has only included pathogens. The *National Water Research Institute, Final Project Report, Orange County Water District, Source, Fate, and Transport of Endocrine Disruptors, Pharmaceuticals, and Personal Care Products in Drinking Water Sources in California* (May 2010) reported that: "Of the 126 samples analyzed for the project, one sample (American River at Fairbairn drinking water treatment plant [DWTP] intake collected in April 2008) had no detectable levels of any EDCs, PPCPs, or OWCs. All other samples had one or more analytes detected at or above the corresponding MRLs. The five most frequently detected PPCPs were caffeine, carbamazepine, primidone, sulfamethoxazole, and tris(2-chloroethyl) phosphate (TCEP). At the sample sites upstream of WWTP discharges in all three watersheds, the concentrations of selected PPCPs, except for caffeine, were low (i.e.,  $\leq 13$  ng/L), pointing to WWTP discharges as the main source of most PPCPs and OWCs in the environment." The Water Quality Control Plan for the Sacramento/ San Joaquin River Basins (Basin Plan), Water Quality Objectives (Page III-8.00), for Toxicity is a narrative criteria which states that all waters shall be maintained free of toxic substances in concentrations that produce detrimental physiological responses in human, plant, animal, or aquatic life. The Regional Board's proposed Permit does not address toxicity and possible detrimental physiological impacts to humans in the domestic and drinking water supply as a result of the upstream wastewater discharge. The Regional Board does not have sufficient information to determine that the beneficial uses of the receiving stream are protected.

The proposed Permit does not protect the drinking water beneficial use of the receiving stream as is required by Federal Regulations 40 CFR 122.4, 122.44(d) and the California Water Code, Section 13377 and in accordance with these requirements cannot be issued. At a minimum, the permit must be amended to require that the Discharger develop a workplan to eliminate the wastewater discharge to surface water in accordance with the Basin Plan.

**The proposed Permit fails to utilize the latest EPA recommended criteria for copper and instead utilized an outdated water quality standard and water effects ratio (WER) in developing and effluent limitation for copper contrary to Section 122.44(d) of 40 CFR which requires that permits include water quality-based effluent limitations (WQBELs) to**

**attain and maintain applicable numeric and narrative water quality criteria to protect the beneficial uses of the receiving water.**

EPA has issued revised national recommended freshwater aquatic life criteria for copper (*Aquatic Life Ambient Freshwater Quality Criteria—Copper 2007 Revision*). In adopting the copper criteria EPA stated that:

“Copper is an abundant naturally occurring trace element found in the earth’s crust that is also found in surface waters. Copper is a micronutrient at low concentrations and is essential to virtually all plants and animals. At higher concentrations copper can become toxic to aquatic life. Mining, leather and leather products, fabricated metal products, and electric equipment are a few of the industries with copper-bearing discharges that contribute to manmade discharges of copper into surface waters. Municipal effluents may also contribute additional copper loadings to surface waters.

Since EPA published the hardness-based recommendation for copper criteria in 1984, new data have become available on copper toxicity and its effects on aquatic life. The Biotic Ligand Model (BLM) – a metal bioavailability model that uses receiving water body characteristics to develop site-specific water quality criteria – utilizes the best available science and serves as the basis for the new national recommended criteria.

The BLM requires ten input parameters to calculate a freshwater copper criterion (a saltwater BLM is not yet available): temperature, pH, dissolved organic carbon (DOC), calcium, magnesium, sodium, potassium, sulfate, chloride, and alkalinity. The BLM is used to derive the criteria rather than as a post-derivation adjustment as was the case with the hardness-based criteria. This allows the BLM-based criteria to be customized to the particular water under consideration.

BLM-based criteria can be more stringent than the current hardness-based copper criteria and in certain cases the current hardness-based copper criteria may be overly stringent for particular water bodies. We expect that application of this model will result in more appropriate criteria and eliminate the need for costly, time-consuming site-specific modifications using the water effect ratio.”

On March 24, 2000 the US Fish and Wildlife Service (Service) and the National Marine Fisheries Service (NMFS) issued a biological opinion on the effects of the final promulgation of the CTR on listed species and critical habitats in California in accordance with section 7 of the Endangered Species Act of 1973, as amended (16 USC 1531 et seq.; Act). The biological opinion was issued to the U.S. Environmental Protection Agency, Region 9, with regard to the “Final Rule for the Promulgation of Water Quality Standards: Establishment of Numeric Criteria for Priority Toxic Pollutants for the State of California” (CTR)”. The document represented the Services’ final biological opinion on the effects of the final promulgation of the CTR on listed species and critical habitats in California in accordance with section 7 of the Endangered Species Act of 1973, as amended (16 USC 1531 et seq.; Act).

On Page 13 (C) and repeated on pages 216 and 232 of the biological opinion it is required that:

“By June of 2003, EPA, in cooperation with the Services, will develop a revised criteria calculation model based on best available science for deriving aquatic life criteria on the basis of hardness (calcium and magnesium), pH, alkalinity, and dissolved organic carbon (DOC) for metals.”

The biological opinion contains the following discussion, beginning on page 205, regarding the use of hardness in developing limitations for toxic metals:

“The CTR should more clearly identify what is actually to be measured in a site water to determine a site-specific hardness value. Is the measure of hardness referred to in the CTR equations a measure of the water hardness due to calcium and magnesium ions only? If hardness computations were specified to be derived from data obtained in site water calcium and magnesium determinations alone, confusion could be avoided and more accurate results obtained (APHA 1985). Site hardness values would thus not include contributions from other multivalent cations (e.g., iron, aluminum, manganese), would not rise above calcium + magnesium hardness values, or result in greater-than-intended site criteria when used in formulas. In this Biological opinion, what the Services refer to as hardness is the water hardness due to calcium + magnesium ions only.

The CTR should clearly state that to obtain a site hardness value, samples should be collected upstream of the effluent source(s). Clearly stating this requirement in the CTR would avoid the computation of greater-than-intended site criteria in cases where samples were collected downstream of effluents that raise ambient hardness, but not other important water qualities that affect metal toxicity (e.g., pH, alkalinity, dissolved organic carbon, calcium, sodium, chloride, etc.). Clearly, it is inappropriate to use downstream site water quality variables for input into criteria formulas because they may be greatly altered by the effluent under regulation. Alterations in receiving water chemistry by a discharger (e.g., abrupt elevation of hardness, changes in pH, exhaustion of alkalinity, abrupt increases in organic matter etc.) should not result, through application of hardness in criteria formulas, in increased allowable discharges of toxic metals. If the use of downstream site water quality variables were allowed, discharges that alter the existing, naturally-occurring water composition would be encouraged rather than discouraged. Discharges should not change water chemistry even if the alterations do not result in toxicity, because the aquatic communities present in a water body may prefer the unaltered environment over the discharge-affected environment. Biological criteria may be necessary to detect adverse ecological effects downstream of discharges, whether or not toxicity is expressed.

The CTR proposes criteria formulas that use site water hardness as the only input variable. In contrast, over twenty years ago Howarth and Sprague (1978) cautioned against a broad use of water hardness as a “shorthand” for water qualities that affect copper toxicity. In that study, they observed a clear effect of pH in addition to hardness. Since that time, several studies of the toxicity of metals in test waters of various compositions have been performed and the results do not confer a singular role to hardness in ameliorating metals toxicity. In recognition of this fact, most current studies

carefully vary test water characteristics like pH, calcium, alkalinity, dissolved organic carbon, chloride, sodium, suspended solids, and others while observing the responses of test organisms. It is likely that understanding metal toxicity in waters of various chemical makeups is not possible without the use of a geochemical model that is more elaborate than a regression formula. It may also be that simple toxicity tests (using mortality, growth, or reproductive endpoints) are not capable of discriminating the role of hardness or other water chemistry characteristics in modulating metals toxicity (Erickson *et al.* 1996). Gill surface interaction models have provided a useful framework for the study of acute metals toxicity in fish (Pagenkopf 1983; Playle *et al.* 1992; Playle *et al.* 1993a; Playle *et al.* 1993b; Janes and Playle 1995; Playle 1998), as have studies that observe physiological (e.g. ion fluxes) or biochemical (e.g. enzyme inhibition) responses (Lauren and McDonald 1986; Lauren and McDonald 1987a; Lauren and McDonald 1987b; Reid and McDonald 1988; Verbost *et al.* 1989; Bury *et al.* 1999a; Bury *et al.* 1999b). Even the earliest gill models accounted for the effects of pH on metal speciation and the effects of alkalinity on inorganic complexation, in addition to the competitive effects due to hardness ions (Pagenkopf 1983). Current gill models make use of sophisticated, computer-based, geochemical programs to more accurately account for modulating effects in waters of different chemical makeup (Playle 1998). These programs have aided in the interpretation of physiological or biochemical responses in fish and in investigations that combine their measurement with gill metal burdens and traditional toxicity endpoints.

The Services recognize and acknowledge that hardness of water and the hardness acclimation status of a fish will modify toxicity and toxic response. However the use of hardness alone as a universal surrogate for all water quality parameters that may modify toxicity, while perhaps convenient, will clearly leave gaps in protection when hardness does not correlate with other water quality parameters such as DOC, pH, Cl<sup>-</sup> or alkalinity and will not provide the combination of comprehensive protection and site specificity that a multivariate water quality model could provide. In our review of the best available scientific literature the Services have found no conclusive evidence that water hardness, by itself, in either laboratory or natural water, is a consistent, accurate predictor of the aquatic toxicity of all metals in all conditions.

Hardness as a predictor of copper toxicity: Lauren and McDonald (1986) varied pH, alkalinity, and hardness independently at a constant sodium ion concentration, while measuring net sodium loss and mortality in rainbow trout exposed to copper. Sodium loss was an endpoint investigated because mechanisms of short-term copper toxicity in fish are related to disruption of gill ionoregulatory function. Their results indicated that alkalinity was an important factor reducing copper toxicity, most notably in natural waters of low calcium hardness and alkalinity. Meador (1991) found that both pH and dissolved organic carbon were important in controlling copper toxicity to *Daphnia magna*. Welsh *et al.* (1993) demonstrated the importance of dissolved organic carbon in affecting the toxicity of copper to fathead minnows and suggested that water quality criteria be reviewed to consider the toxicity of copper in waters of low alkalinity, moderately acidic pH, and low dissolved organic carbon concentrations. Applications of gill models to copper binding consider complexation by dissolved organic carbon,

speciation and competitive effects of pH, and competition by calcium ions, not merely water hardness (Playle *et al.* 1992; Playle *et al.* 1993a; Playle *et al.* 1993b). Erickson *et al.* (1996) varied several test water qualities independently and found that pH, hardness, sodium, dissolved organic matter, and suspended solids have important roles in determining copper toxicity. They also suggested that it may difficult to sort out the effects of hardness based on simple toxicity experiments. It is clear that these studies question the use of site calcium + magnesium hardness only as input to a formula to derive a criterion for copper because pH, alkalinity, and dissolved organic carbon concentrations are key water quality variables that also modulate toxicity. In waters of moderately acidic pH, low alkalinity, and low dissolved organic carbon, the use of hardness regressions may be most inaccurate. Also, it is not clear that the dissolved organic carbon in most or all waters render metals unavailable. This is because dissolved organic carbon from different sources may vary in both binding capacity and stability (Playle 1998).”

In the Biological Opinion the Services required that: “B. “EPA, in cooperation with the Services, will issue a clarification to the Interim Guidance on the Determination and Use of Water-Effect Ratios for Metals (USEPA 1994) concerning the use of calcium-to-magnesium ratios in laboratory water, which can result in inaccurate and under-protective criteria values for federally listed species considered in the Services’ opinion. EPA, in cooperation with the Services, will also issue a clarification to the Interim Guidance addressing the proper acclimation of test organisms prior to testing in applying water-effect ratios (WERs)”. There is no indication in the proposed Permit that a revised or clarified Guidance was used to develop the WER. As detailed by the Services failure to develop WERs in accordance with their revisions to the Guidance may result in unprotective metal criteria.

The proposed Permit must be revised to state whether a modified method for conducting the WER was utilized. As was required in the biological opinion, EPA has updated the water quality criteria for copper as cited above. Failure to utilize the updated criteria for copper in the proposed Permit conflicts with the requirements of Section 122.44(d) of 40 CFR which requires that permits include water quality-based effluent limitations (WQBELs) to attain and maintain applicable numeric and narrative water quality criteria to protect the beneficial uses of the receiving water. Both EPA, in adopting the new criteria for copper, and the “Services” in issuing their biological opinion cite that the use of translators and the old hardness based standard for copper is likely not protective of the aquatic life beneficial use.

**The proposed Permit fails to contain an Effluent Limitation for bis(2-ethylhexyl)phthalate despite a clear reasonable potential to exceed waste quality standards in violation of Federal Regulations 40 CFR 122.44.**

Bis(2-ethylhexyl)phthalate exceeds water quality standards in the receiving stream at 6.0 µg/l, above the CTR Water Quality Standard of 1.8 µg/l. Bis(2-ethylhexyl)phthalate has been detected in the wastewater effluent at 4.6 µg/l, also above the CTR Water Quality Standard. The proposed Permit Fact Sheet states that the receiving water and effluent sampling data for bis(2-ethylhexyl)phthalate is subject to error and is being discarded. If as the Regional Board contends, that the samples were contaminated by laboratory equipment or plastic sampling

bottles, this would be revealed in analysis of the sampling or travel blank analysis or documentation from the laboratory quality assurance/quality control (QA/QC) documents. Apparently, all in place standard practices which would reveal any sampling and analysis errors have been ignored. Bis(2-ethylhexyl)phthalate is used in the formation of plastics and has been documented in the available literature to be present in plastic pipes, bottles, bags and widely distributed throughout the environment. The Regional Board total disregards scientific methods, specifically sampling and laboratory QA/QC methodologies, in throwing out data points that would lead to a reasonable potential for a pollutant to exceed water quality standards when the burden should properly be placed on wastewater Dischargers to conduct proper sampling and analysis. The California Water Code (CWC), Section 13377 states in part that: "...the state board or the regional boards shall...issue waste discharge requirements...which apply and ensure compliance with ...water quality control plans, or for the protection of beneficial uses..." Section 122.44(d) of 40 CFR requires that permits include water quality-based effluent limitations (WQBELs) to attain and maintain applicable numeric and narrative water quality criteria to protect the beneficial uses of the receiving water. US EPA has interpreted 40 CFR 122.44(d) in *Central Tenets of the National Pollutant Discharge Elimination System (NPDES) Permitting Program* (Factsheets and Outreach Materials, 08/16/2002) that; although States will likely have unique implementation policies there are certain tenets that may not be waived by State procedures. These tenets include that "where valid, reliable, and representative effluent data or instream background data are available they MUST be used in applicable reasonable potential and limits derivation calculations. Data may not be arbitrarily discarded or ignored." The Regional Board has failed to use valid, reliable and representative data in developing limitations, contrary to the cited Federal Regulation. Failure to include an effluent limitation for bis(2-ethylhexyl)phthalate in the proposed permit violates 40 CFR 122.44 and CWC 13377.

**The proposed Permit contains no Effluent Limitations for chloroform, copper, methyl tertiary butyl ether, Methylene blue active substances, nickel, oil and grease, persistent, chlorinated hydrocarbon pesticides, settleable solids, silver and zinc and is therefore less stringent than the existing permit contrary to the Antidegradation requirements of the Clean Water Act and Federal Regulations, 40 CFR 122.44 (l)(1).**

Under the Clean Water Act (CWA), point source dischargers are required to obtain federal discharge (NPDES) permits and to comply with water quality based effluent limits (WQBELs) in NPDES permits sufficient to make progress toward the achievement of water quality standards or goals. The antidegradation and antidegradation rules clearly spell out the interest of Congress in achieving the CWA's goal of continued progress toward eliminating all pollutant discharges. Congress clearly chose an overriding environmental interest in clean water through discharge reduction, imposition of technological controls, and adoption of a rule against relaxation of limitations once they are established.

Upon permit reissuance, modification, or renewal, a discharger may seek a relaxation of permit limitations. However, according to the CWA, relaxation of a WQBEL is permissible only if the requirements of the antidegradation rule are met. The antidegradation regulations prohibit EPA from reissuing NPDES permits containing interim effluent limitations, standards or conditions less stringent than the final limits contained in the previous permit, with limited exceptions. These regulations also prohibit, with some exceptions, the reissuance of permits originally based

on best professional judgment (BPJ) to incorporate the effluent guidelines promulgated under CWA §304(b), which would result in limits less stringent than those in the previous BPJ-based permit. Congress statutorily ratified the general prohibition against backsliding by enacting §§402(o) and 303(d)(4) under the 1987 Amendments to the CWA. The amendments preserve present pollution control levels achieved by dischargers by prohibiting the adoption of less stringent effluent limitations than those already contained in their discharge permits, except in certain narrowly defined circumstances.

When attempting to backslide from WQBELs under either the antidegradation rule or an exception to the antibacksliding rule, relaxed permit limits must not result in a violation of applicable water quality standards. The general prohibition against backsliding found in §402(o)(1) of the Act contains several exceptions. Specifically, under §402(o)(2), a permit may be renewed, reissued, or modified to contain a less stringent effluent limitation applicable to a pollutant *if*: (A) material and substantial alterations or additions to the permitted facility occurred after permit issuance which justify the application of a less stringent effluent limitation; (B)(i) information is available which was not available at the time of permit issuance (other than revised regulations, guidance, or test methods) and which would have justified the application of a less stringent effluent limitation at the time of permit issuance; or (ii) the Administrator determines that technical mistakes or mistaken interpretations of law were made in issuing the permit under subsection (a)(1)(B) of this section; (C) a less stringent effluent limitation is necessary because of events over which the permittee has no control and for which there is no reasonably available remedy [(e.g., Acts of God)]; (D) the permittee has received a permit modification under section 1311(c), 1311(g), 1311(h), 1311(i), 1311(k), 1311(n), or 1326(a) of this title; or (E) the permittee has installed the treatment facilities required to meet the effluent limitations in the previous permit, and has properly operated and maintained the facilities, but has nevertheless been unable to achieve the previous effluent limitations, in which case the limitations in the reviewed, reissued, or modified permit may reflect the level of pollutant control actually achieved (but shall not be less stringent than required by effluent guidelines in effect at the time of permit renewal, reissuance, or modification).

Even if a discharger can meet either the requirements of the antidegradation rule under §303(d)(4) or one of the statutory exceptions listed in §402(o)(2), there are still limitations as to how far a permit may be allowed to backslide. Section 402(o)(3) acts as a floor to restrict the extent to which BPJ and water quality-based permit limitations may be relaxed under the antibacksliding rule. Under this subsection, even if EPA allows a permit to backslide from its previous permit requirements, EPA may never allow the reissued permit to contain effluent limitations which are less stringent than the current effluent limitation guidelines for that pollutant, or which would cause the receiving waters to violate the applicable state water quality standard adopted under the authority of §303.49.

Federal regulations 40 CFR 122.44 (l)(1) have been adopted to implement the antibacksliding requirements of the CWA:

(l) Reissued permits. (1) Except as provided in paragraph (l)(2) of this section when a permit is renewed or reissued, interim effluent limitations, standards or conditions must be at least as stringent as the final effluent limitations, standards, or conditions in the

previous permit (unless the circumstances on which the previous permit was based have materially and substantially changed since the time the permit was issued and would constitute cause for permit modification or revocation and reissuance under Sec. 122.62.)

(2) In the case of effluent limitations established on the basis of Section 402(a)(1)(B) of the CWA, a permit may not be renewed, reissued, or modified on the basis of effluent guidelines promulgated under section 304(b) subsequent to the original issuance of such permit, to contain effluent limitations which are less stringent than the comparable effluent limitations in the previous permit.

(i) Exceptions--A permit with respect to which paragraph (1)(2) of this section applies may be renewed, reissued, or modified to contain a less stringent effluent limitation applicable to a pollutant, if:

(A) Material and substantial alterations or additions to the permitted facility occurred after permit issuance which justify the application of a less stringent effluent limitation;

(B)(1) Information is available which was not available at the time of permit issuance (other than revised regulations, guidance, or test methods) and which would have justified the application of a less stringent effluent limitation at the time of permit issuance; or (2) The Administrator determines that technical mistakes or mistaken interpretations of law were made in issuing the permit under section 402(a)(1)(b);

(C) A less stringent effluent limitation is necessary because of events over which the permittee has no control and for which there is no reasonably available remedy;

(D) The permittee has received a permit modification under section 301(c), 301(g), 301(h), 301(i), 301(k), 301(n), or 316(a); or

(E) The permittee has installed the treatment facilities required to meet the effluent limitations in the previous permit and has properly operated and maintained the facilities but has nevertheless been unable to achieve the previous effluent limitations, in which case the limitations in the reviewed, reissued, or modified permit may reflect the level of pollutant control actually achieved (but shall not be less stringent than required by effluent guidelines in effect at the time of permit renewal, reissuance, or modification).

(ii) Limitations. In no event may a permit with respect to which paragraph (1)(2) of this section applies be renewed, reissued, or modified to contain an effluent limitation which is less stringent than required by effluent guidelines in effect at the time the permit is renewed, reissued, or modified. In no event may such a permit to discharge into waters be renewed, issued, or modified to contain a less stringent effluent limitation if the implementation of such limitation would result in a violation of a water quality standard under section 303 applicable to such waters.

In removing the Effluent Limitations for chloroform, copper, methyl tertiary butyl ether, Methylene blue active substances, nickel, oil and grease, persistent, chlorinated hydrocarbon pesticides, settleable solids, silver and zinc the proposed Permit does not cite a single applicable exception to the Federal Antibacksliding regulation. There is nothing in the proposed Permit that shows: material or substantial alteration to the WWTP that would change the character of the effluent for the cited constituents; no new information that would invalidate the original information used to establish effluent limitations; no change in the character of the influent. The proposed Permit allows for illegal backsliding and must be amended to include proper Effluent



Limitations for chloroform, copper, methyl tertiary butyl ether, Methylene blue active substances, nickel, oil and grease, persistent, chlorinated hydrocarbon pesticides, settleable solids, silver and zinc at least as stringent as the current permit.

**The proposed Permit contains an inadequate antidegradation analysis that does not adequately address the removal of Effluent Limitations contained in the existing NPDES permit or the allowance to degrade groundwater quality comply with the requirements of Section 101(a) of the Clean Water Act, Federal Regulations 40 CFR § 131.12, the State Board's Antidegradation Policy (Resolution 68-16) and California Water Code (CWC) Sections 13146 and 13247.**

CWC Sections 13146 and 13247 require that the Board in carrying out activities which affect water quality shall comply with state policy for water quality control unless otherwise directed by statute, in which case they shall indicate to the State Board in writing their authority for not complying with such policy. The State Board has adopted the Antidegradation Policy (Resolution 68-16), which the Regional Board has incorporated into its Basin Plan. The Regional Board is required by the CWC to comply with the Antidegradation Policy.

Section 101(a) of the Clean Water Act (CWA), the basis for the antidegradation policy, states that the objective of the Act is to “restore and maintain the chemical, biological and physical integrity of the nation’s waters.” Section 303(d)(4) of the CWA carries this further, referring explicitly to the need for states to satisfy the antidegradation regulations at 40 CFR § 131.12 before taking action to lower water quality. These regulations (40 CFR § 131.12(a)) describe the federal antidegradation policy and dictate that states must adopt both a policy at least as stringent as the federal policy as well as implementing procedures.

California’s antidegradation policy is composed of both the federal antidegradation policy and the State Board’s Resolution 68-16 (State Water Resources Control Board, Water Quality Order 86-17, p. 20 (1986) (“Order 86-17”); Memorandum from Chief Counsel William Attwater, SWRCB to Regional Board Executive Officers, “federal Antidegradation Policy,” pp. 2, 18 (Oct. 7, 1987) (“State Antidegradation Guidance”). As a state policy, with inclusion in the Water Quality Control Plan (Basin Plan), the antidegradation policy is binding on all of the Regional Boards (Water Quality Order 86-17, pp. 17-18).

Implementation of the state’s antidegradation policy is guided by the State Antidegradation Guidance, SWRCB Administrative Procedures Update 90-004, 2 July 1990 (“APU 90-004”) and USEPA Region IX, “Guidance on Implementing the Antidegradation Provisions of 40 CFR 131.12” (3 June 1987) (“Region IX Guidance”), as well as Water Quality Order 86-17.

The Regional Board must apply the antidegradation policy whenever it takes an action that will lower water quality (State Antidegradation Guidance, pp. 3, 5, 18, and Region IX Guidance, p. 1). Application of the policy does not depend on whether the action will actually impair beneficial uses (State Antidegradation Guidance, p. 6). Actions that trigger use of the antidegradation policy include issuance, re-issuance, and modification of NPDES and Section 404 permits and waste discharge requirements, waiver of waste discharge requirements, issuance of variances, relocation of discharges, issuance of cleanup and abatement orders, increases in

discharges due to industrial production and/or municipal growth and/or other sources, exceptions from otherwise applicable water quality objectives, etc. (State Antidegradation Guidance, pp. 7-10, Region IX Guidance, pp. 2-3). Both the state and federal policies apply to point and nonpoint source pollution (State Antidegradation Guidance p. 6, Region IX Guidance, p. 4).

The proposed Permit contains no Effluent Limitations for chloroform, copper, methyl tertiary butyl ether, Methylene blue active substances, nickel, oil and grease, persistent, chlorinated hydrocarbon pesticides, settleable solids, silver and zinc and is therefore less stringent than the existing permit which must be addressed in an Antidegradation Policy assessment.

The City of Auburn has degraded groundwater and has not met the Antidegradation Policy requirement that best practicable treatment and control (BPTC) of the wastewater discharge be provided. The Discharger has not only degraded groundwater quality as detailed in Order No. R5-2005-0030 for TDS, nitrate and coliform, but has also degraded groundwater quality with barium, copper, iron, manganese, nickel, strontium, and vanadium. The discharge of wastewater has caused exceedance of the Basin Plan water quality objectives in some instances and has degraded groundwater quality in others. The degradation of groundwater is not allowed under the Antidegradation Policy, Resolution 68-16, which is a part of the Basin Plan unless the degradation is in the best interest of the people of California and BPTC has been provided. BPTC has not been provided as detailed in the previous and proposed Permit. In no case does the Antidegradation Policy allow for an exceedance of water quality objectives. The wastewater discharge is not in compliance with the water quality control plan (Basin Plan) and therefore cannot be exempted from CCR Title 27.

The proposed Permit, page 14, contains: “B. Groundwater Limitations, Release of waste constituents from any storage, treatment, or disposal component associated with the Facility shall not, in combination with other sources of the waste constituents, cause groundwater within influence of the Facility to contain waste constituents in concentrations in excess of natural background quality or that listed below, whichever is greater.” Normally, background groundwater quality does not exceed water quality standards, which appears to be the case at the City of Auburn. Under this circumstance, the proposed Permit allows the Discharger to degrade water quality to the point where it equals the water quality standard. This is contrary to the Antidegradation Policy which first requires the Discharger show that ANY degradation is in the best interest of the people of California and that BPTC is being provided. The proposed Permit puts the cart before the horse and allows degradation to water quality standards absent compliance with the Antidegradation Policy. The proposed Permit cited paragraph should be revised to state “whichever is less” in accordance with the Antidegradation Policy.

**The proposed Permit fails to implementing the requirements of the Basin Plan, Implementation, Policy for Application of Water Quality Objectives with regard to additive toxicity.**

Proposed Permit contains final effluent limitations for several constituents, including aluminum, lead and manganese. The proposed Permit also removes limitations for copper, nickel, silver and zinc. Although we disagree with the removal of effluent limitations for the cited metals; the concentrations still present a potential for exhibiting additive toxic effects. The Basin Plan,

*Implementation, Policy for Application of Water Quality Objectives* requires that: “Where multiple toxic pollutants exist together in water, the potential for toxicologic interactions exists. On a case by case basis, the Regional Water Board will evaluate available receiving water and effluent data to determine whether there is a reasonable potential for interactive toxicity. Pollutants which are carcinogens or which manifest their toxic effects on the same organ systems or through similar mechanisms will generally be considered to have potentially additive toxicity.”

**The proposed permit contains an inadequate reasonable potential by using incorrect statistical multipliers as required by Federal regulations, 40 CFR § 122.44(d)(1)(ii).**

Federal regulations, 40 CFR § 122.44(d)(1)(ii), state “when determining whether a discharge causes, has the reasonable potential to cause, or contributes to an in-stream excursion above a narrative or numeric criteria within a State water quality standard, the permitting authority shall use procedures which account for existing controls on point and nonpoint sources of pollution, **the variability of the pollutant or pollutant parameter in the effluent**, the sensitivity of the species to toxicity testing (when evaluating whole effluent toxicity), and where appropriate, the dilution of the effluent in the receiving water.” Emphasis added. The reasonable potential analysis fails to consider the statistical variability of data and laboratory analyses as explicitly required by the federal regulations. The proposed Permit states that: “The Regional Water Board conducted the RPA in accordance with Section 1.3 of the SIP. Although the SIP applies directly to the control of CTR priority pollutants, the State Water Board has held that the Regional Water Board may use the SIP as guidance for water quality-based toxics control. The SIP states in the introduction *“The goal of this Policy is to establish a standardized approach for permitting discharges of toxic pollutants to non-ocean surface waters in a manner that promotes statewide consistency.”* Therefore, in this Order the RPA procedures from the SIP were used to evaluate reasonable potential for both CTR and non-CTR constituents.” The procedures for computing variability are detailed in Chapter 3, pages 52-55, of USEPA’s *Technical Support Document For Water Quality-based Toxics Control*. The Regional Water Board conducted the RPA in accordance with Section 1.3 of the SIP. The proposed Permit states that: “Although the SIP applies directly to the control of CTR priority pollutants, the State Water Board has held that the Regional Water Board may use the SIP as guidance for water quality-based toxics control” but fails to discuss compliance with 40 CFR § 122.44(d)(1)(ii). The State and Regional Boards do not have the authority to override and ignore federal regulation. A statistical analysis results in a projected maximum effluent concentration (MEC) based on laboratory variability and the resulting MEC is greater than was obtained from the actual sampling data. The result of using statistical variability is that a greater number of constituents will have a reasonable potential to exceed water quality standards and therefore a permit will have a greater number of effluent limitations. The intentional act of ignoring the Federal regulation has a clear intent of limiting the number of regulated constituents in an NPDES permit. The fact that the SIP illegally ignores this fundamental requirement does not exempt the Regional Board from its obligation to consider statistical variability in compliance with federal regulations. The failure to utilize statistical variability results in significantly fewer Effluent Limitations that are necessary to protect the beneficial uses of receiving waters. The reasonable potential analyses for CTR constituents are flawed and must be recalculated.

**The proposed Permit fails to include an Effluent for Chloroform as required by Federal Regulations 40 CFR 122.44 and the permit should not be adopted in accordance with California Water Code Section 13377.**

Federal Regulations, 40 CFR 122.44 (d)(i), requires that; “Limitations must control all pollutants or pollutant parameters (either conventional, nonconventional, or toxic pollutants) which the Director determines are or may be discharged at a level which will cause, have the reasonable potential to cause, or contribute to an excursion above any State water quality standard, including State narrative criteria for water quality.” The Water Quality Standard for chloroform is 1.1 µg/l as recommended by Cal EPA’s OEHHHA as a cancer potency factor. The wastewater discharge maximum observed was 56 ug/l. Clearly the discharge exceeds the water quality objective. The proposed Order fails to establish an effluent limitation for chloroform.

California Water Code, section 13377, requires that: “Notwithstanding any other provision of this division, the state board and the regional boards shall, as required or authorized by the Federal Water Pollution Control Act, as amended, issue waste discharge and dredged or fill material permits which apply and ensure compliance with all applicable provisions of the act and acts amendatory thereof or supplementary, thereto, together with any more stringent effluent standards or limitations necessary to implement water quality control plans, or for the protection of beneficial uses, or to prevent nuisance.”